



Shepherd and Wedderburn

Complaints Procedure

We are committed to delivering services of the very highest quality to our clients. To that end, we value feedback to ensure our services meet your expectations, and have established the following complaints procedure in order to deal with any issues in a prompt, fair, open and effective manner.

If you have an issue with our services (whether or not you are our client), please contact the person dealing with the matter, or, if you prefer, their supervising partner, by telephone, email or post. Their contact details should appear on any Shepherd and Wedderburn correspondence you have received. If you are a client, you may, alternatively, contact your client partner. If you are uncertain who to contact you may call our general number +44(0)131 228 9900 and we will direct you to the correct person or email us at clientrelations@shepwedd.com.

To help us investigate your complaint, please provide full details of where you believe our service has not met your expectations, outlining specific issues of concern. We prefer that you set out your complaint in writing. If, for any reason, that is not possible or difficult for you, please contact us and we will try to deal with any issue in the manner that best suits you.

We treat complaints seriously, and will deal with them, fairly, openly and effectively. We will investigate your complaint and respond as fully as we can as soon as possible. Your complaint will be handled, in the first instance, by the supervising partner or your client partner.

We will make a decision based on a fair and proper investigation of the circumstances leading to the

complaint and offer an appropriate remedy or redress to you, where appropriate. We do not charge for investigating or otherwise handling complaints. We aim to resolve your complaint as quickly as possible and in any event within eight weeks from the date of receipt.

We aim to acknowledge your complaint within two working days from the date of receipt and to send an initial response within 10 working days. Should a substantive response be required, we aim to send this to you within 20 working days. If, for any reason, we are unable to respond fully within 20 days, we will tell you why, and when we anticipate replying in full.

If we are unable to resolve your complaint in this way, we will then offer you an internal review. This will be conducted by Shepherd and Wedderburn LLP's Chair and Client Relations Partner, or by a person (e.g. a partner of Shepherd and Wedderburn Europe LLP) nominated or delegated by them. Shepherd and Wedderburn LLP's Chair may be contacted at any of our office addresses shown below. We aim to send you the result of an internal review within 20 working days of receipt by us of a request from you for such a review, provided that you promptly provide us with such further information (if any) as the reviewing person requests from you.

Shepherd and Wedderburn has offices at the following addresses:

Edinburgh

9 Haymarket Square
Edinburgh
EH3 8FY

Glasgow

1 West Regent Street
Glasgow
G2 1RW

London

Octagon Point
6th Floor
5 Cheapside
London
EC2V 6AA

Aberdeen

37 Albyn Place
Aberdeen
AB10 1YN

Dublin

27/28 Herbert Place
Dublin
Republic of Ireland
D02 DC97



If you do not believe we have responded to your complaint within a reasonable time, or you are not satisfied with the response, the complaint may be considered by the Scottish Legal Complaints Commission (Scotland), the Legal Ombudsman (England and Wales), the Solicitors Regulation Authority (England and Wales) or the Legal Services Regulatory Authority (Republic of Ireland). Should you wish to do this, we can direct you to the body that is best placed to deal with your complaint. Generally however:

If your complaint is in respect of a Scottish matter or a lawyer or firm of lawyers registered in Scotland, you should contact the Scottish Legal Complaints Commission (SLCC). The helpline number is +44(0)131 201 2130 and the address is 12-13 St Andrew Square, Edinburgh, United Kingdom EH2 2AF. Email correspondence should be addressed to enquiries@scottishlegalcomplaints.org.uk. Complaints to the SLCC fall into two categories: “service complaints” or “conduct complaints”. Both categories are explained on the SLCC’s website at scottishlegalcomplaints.org.uk. The SLCC investigates and resolves complaints about inadequate professional service and refers on conduct complaints to the Law Society of Scotland.

If the issue about which you are complaining occurred on or after 1 April 2017, ordinarily the SLCC can only investigate complaints within three years of when the service ended or the conduct incident occurred, or within three years of when you could reasonably have been aware of the issue. If the issue about which you are complaining occurred before 1 April 2017, ordinarily the SLCC can only investigate complaints within 12 months of when the service ended or the conduct incident occurred, or within 12 months of when you could reasonably have been aware of the issue. **It is important that you note the strict time limits that apply.** Further information can be found on the SLCC’s website at scottishlegalcomplaints.org.uk.

If your complaint relates to your bill, you may also be able to apply to court for an assessment of the bill under section 61A of the Solicitors (Scotland) Act 1980.

OR

If your complaint is in respect of an English or Welsh matter or a lawyer or firm of lawyers registered in England and Wales, you should contact the Legal Ombudsman. The helpline number is 0300 555 0333 (or +44(0)121 245 3050 if calling from outside of the United Kingdom), and the address is Legal Ombudsman, PO Box 6167, Slough, United Kingdom SL1 0EH. Email correspondence should be addressed to enquiries@legalombudsman.org.uk. We will inform you in writing at the conclusion of our complaints procedure of your right to complain to the Legal Ombudsman (and the time-frame for doing so and the Legal Ombudsman’s contact details), where relevant.

Ordinarily, the Legal Ombudsman will only accept complaints within six months of the end of our complaints procedure and within one year of an event occurring about which you wish to complain or within one year of when you should reasonably have known that you had cause to complain. Further information can be found on the Legal Ombudsman’s website at legalombudsman.org.uk.

However, please note that the service provided by the Legal Ombudsman is only available to certain types of clients/organisations. You can find further details of those eligible for the service on the Legal Ombudsman’s website. Alternatively, you should contact the Legal Ombudsman on the helpline number given above.

If your concern relates to the professional conduct of a lawyer or firm of lawyers, you can complain to the Solicitors Regulation Authority (SRA). Further information can be found on the SRA’s website at sra.org.uk. The helpline number is +44(0)370 606 2555 and the address is The Cube, 199 Wharfside Street, Birmingham B1 1RN. Email correspondence should be addressed to report@sra.org.uk.

If your complaint relates to your bill, you may also be able to apply to court for an assessment of the bill under Part III of the Solicitors Act 1974.

OR



If your complaint is in respect of a matter undertaken by Shepherd and Wedderburn Europe LLP or a lawyer registered in the Republic of Ireland, your complaint can be referred to the Legal Services Regulatory Authority (LSRA). The helpline number is +353 1859 2911 and the address is Complaints and Resolutions Unit, PO Box 12906, Dublin 7. Email correspondence should be addressed to complaints@lsra.ie. Complaints to the LSRA fall into three categories: “inadequate service”, “excessive costs” and “misconduct”. The LSRA investigates and resolves complaints about inadequate service and excessive costs and may refer on misconduct complaints to the Legal Practitioners Disciplinary Tribunal.

Ordinarily the LSRA can only investigate complaints about inadequate service or excessive costs within three years of when the service ended or the invoice was issued or, if later, when you became aware, or should reasonably have become aware, of the issue. There are no time limits in relation to misconduct complaints. Further information can be found on the LSRA’s website at lsra.ie.

If you have a query about an invoice please raise the matter with the relevant partner. If you wish to dispute an invoice, you must tell us in writing and set out the nature of the dispute within 21 days of the relevant invoice being provided to you. If you notify a dispute to us, we will endeavour to resolve that dispute by informal means. You are also entitled to make an application to the Office of the Legal Costs Adjudicators for adjudication of legal costs. Their contact details are 1st Floor, Merchants House, 27-30 Merchants Quay, Dublin 8. The telephone number is +353 1888 6301. If our invoice or bill of costs is reduced by less than 15 per cent, you will be responsible for paying the costs of adjudication. We may also apply to the Office of the Legal Costs Adjudicators for an invoice to be adjudicated upon after the expiry of 30 days from, and within 12 months of, the date of issue of the invoice, if that invoice or a part of that invoice remains unpaid.